

Docket No.: SON-2599  
(PATENT)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
Tetsu Watanabe et al

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: July 13, 2004

Examiner: Not Yet Assigned

For: OPTICAL HEAD APPARATUS AND  
OPTICAL RECORDING/REPRODUCING  
APPARATUS

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

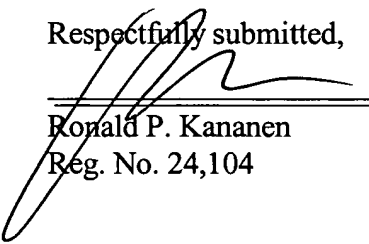
In accordance with the requirements of 37 CFR §§ 1.56 and MPEP 609, applicant through his attorneys and representatives, hereby brings to the attention of the Examiner the references noted on the attached Form PTO-1449, copies of which are enclosed.

The references are cited in the International Search Report of applicant's corresponding PCT Application No. PCT/JP03/01770, a copy of which is enclosed.

It is requested that the Examiner review the references noted and make such consideration of record.

Respectfully submitted,

Dated: July 13, 2004

  
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Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	Not Yet Assigned <b>10/501159</b>
				Filing Date	July 13, 2004
				First Named Inventor	Tetsu Watanabe
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SON-2599

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	6,272,079 B1	08/07/01	Kanto et al	
	AB				
	AC				
	AD				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP 2001-155374 A	06/08/01	Matsushita Electric Industrial Co. Ltd.		X
	BB	JP 2000-20980 A	01/21/00	Fujitsu Ltd.		
	BC	JP 2002-56564 A	02/22/02	Minolta Co., Ltd.		X
	BD					
	BE					
	BF					
	BG					
	BH					
	BI					
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	BK					

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	International Search Report dated June 10, 2003	
	CB		
	CC		
	CD		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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